

SLOUGH BOROUGH COUNCIL

REPORT TO: Audit and Corporate Governance Committee

DATE: 26th July 2017

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WARD(S): ALL

PART I **FOR COMMENT & CONSIDERATION**

INTERNAL AUDIT UPDATE – QUARTER 1 2017-2018

1. **Purpose of Report**

The purpose of this report is to report to Members on the progress against the 2016/17 Internal Audit Plan and the 2017/18 Internal Audit Plan up to Quarter 1.

2. **Recommendation(s)/Proposed Action**

That the Committee comment on and note details of the Internal Audit Update Quarter 1 2017/18.

3. **The Slough Joint Wellbeing Strategy, the JSNA and the Five Year Plan**

The Slough Joint Wellbeing Strategy (SJWS) is the document that details the priorities agreed for Slough with partner organisations. The SJWS has been developed using a comprehensive evidence base that includes the Joint Strategic Needs Assessment (JSNA). Both are clearly linked and must be used in conjunction when preparing your report. They have been combined in the Slough Wellbeing Board report template to enable you to provide supporting information highlighting the link between the SJWS and JSNA priorities.

3a. **Slough Joint Wellbeing Strategy Priorities**

The report indirectly supports all of the strategic priorities and cross cutting themes.

The maintenance of excellent governance within the Council to ensure that it is efficient, effective and economic in everything it does is achieved through the improvement of corporate governance and democracy by ensuring effective management practice is in place.

The report helps achieve the corporate objectives by detailing how the Council is delivering the Council's budget in line with the approved budget.

4. **Other Implications**

(a) Financial

There are no direct financial implications of this report, however failure to implement actions raised could have an impact on the Council's ability to achieve its financial objectives.

(b) Risk Management

This report is concerned with the risk management and other governance arrangements of the Council

(c) Human Rights Act and Other Legal Implications

There are no human rights issues arising from this report

(d) Equalities Impact Assessment

There are no equality issues arising from this report

5. **Supporting Information**

5.1 The Council has finalised the seventeen audit reports relating to the 2016/17 plan since the previous Audit and Corporate Governance Committee meeting.

5.2 Of these reports, one report (Fixed Penalty Enforcement) received a 'no' assurance opinion, and six reports (Governance, Health and Safety, General Ledger, Creditors, Adult Safeguarding and Voluntary Sector Commissioning) received only 'partial' assurance opinions.

5.3 The Council has finalised 4 audit reports relating to the 2017/18 plan.
IQRA School

- Lea Nursery School
- Holy Family Catholic School
- Schools Financial Value Standard

5.4 Three reviews delivered a positive assurance opinion, IQRA being provided with 'substantial' assurance and Lea Nursery and Holy Family Catholic School being provided with 'Reasonable Assurance'.

6. **Comments of Other Committees**

None.

7. **Conclusion**

That the Committee comment on and note details of the Internal Audit Update Quarter 1 2017/18.

8. **Appendices Attached**

Appendix 1 - Internal Audit Progress Report

9. **Background Papers**

None.